



ACE YOUR NEXT CYBERSECURITY EXAMINATION

CHANGE IS HAPPENING NOW

Starting in 2018, NCUA examiners began using the Automated Cybersecurity Examination Tool (ACET) to assess the cyber posture of credit unions. The Excel spreadsheet tool, constructed by the NCUA for examiner use, employs over 530 Statements and over 60 unique document request categories. Through it they look to establish an institution’s Inherent Risk Profile and Cybersecurity Maturity level. While it resembles the FFIEC Cybersecurity Assessment Tool (CAT), the ACET’s focus is assessing cybersecurity posture through documentary evidence substantiating the answers to the Statements. Feedback from 2017 pilot testing has shown that completing this in-depth assessment may take up to 3 weeks of examiner on-site time.

IS YOUR INSTITUTION READY?

Preparing for the NCUA’s updated cybersecurity examination is a team effort. It calls for collaboration across departments, including IT, Risk, Compliance, and Internal Audits. It’s also a significant undertaking that can tie teams up for weeks as they wrestle with

addressing the statements and assembling the supporting documentation that the ACET expects. Coordinating a multi-department effort over the course of several months to a year is a complex undertaking. Using a spreadsheet to bring it all together adds to the difficulty.

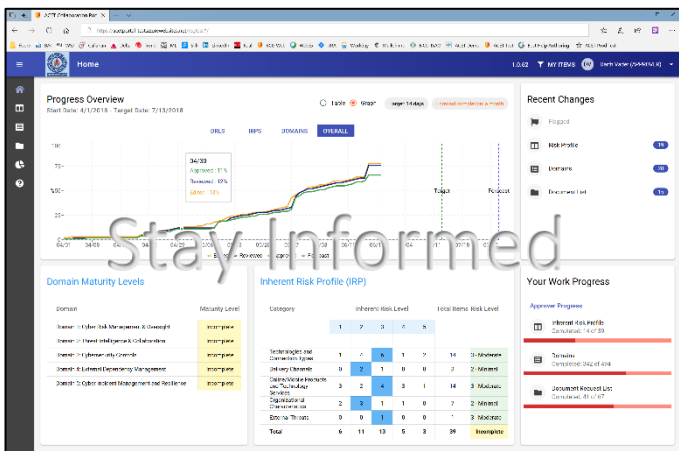
THE ACET COLLABORATION PORTAL

The Redstone Consulting Group (RCG) created the ACET Collaboration Portal™ to resolve many of the challenges created by the NCUS’s ACET examination. The ACET Collaboration Portal is a SaaS solution that eases preparation for the NCUA ACET examination. The Portal allows teams to work together to prepare for the examination on their schedule. It coordinates workflow and tracks progress, presenting several dashboard views into readiness. Sensitive information remains confidential within Virtual StrongBox™, the industry’s leading secure on-line document PaaS. By using the ACET Portal, teams can thoroughly prepare for the Examination while minimizing the commitment of skilled resources.

KEY FEATURES OF THE PORTAL

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|--|---------------------------------------|---------------------------------|
| Team Collaboration On-Line | External Collaboration Options | Examiner Collaboration Options |
| Integrated, Tailorable Workflow | Progress Tracking Dashboards | Cyber Posture Dashboards |
| Pre-Defined Team Member Roles | Team Assignments Module | User Selectable Views |
| Secure Document Vaulting | Multi-Year Archive Capabilities | Institutional Memory |
| Security & Change Tracking | Available On-Line 24x7 | Matches NCUA’s ACET Exam |
| CAT Workbook Importation | Export to Excel in ACET Format | Selectively Export Documents |





Domain	Domain Maturity	Assessment Factor	Assessment Factor Maturity	Component	Realistic	Probing	Intermediate	Advanced	Innovative	Accepted Maturity Level
1 Cyber Risk Management & Oversight	Intermediate	1 Governance	Incomplete	1.1 Oversight	80%	100%	80%	80%	80%	Incomplete
		2 Risk Management	Incomplete	2.1 Asset Management	100%	100%	100%	100%	100%	Incomplete
		3 Business	Incomplete	3.1 Business	80%	100%	100%	100%	100%	Incomplete
		4 Threats & Collusion	Incomplete	4.1 Threats	100%	100%	100%	100%	100%	Incomplete
2 Threat Intelligence & Collaborative	Intermediate	1 Threat Intelligence	Incomplete	1.1 Threat Intelligence and Information	100%	100%	100%	100%	100%	Incomplete
		2 Collaborative	Incomplete	2.1 Collaborative	100%	100%	100%	100%	100%	Incomplete
3 Cyber Resilience & Incident Response	Intermediate	1 Cyber Resilience	Incomplete	1.1 Cyber Resilience	100%	100%	100%	100%	100%	Incomplete
		2 Incident Response	Incomplete	2.1 Incident Response	100%	100%	100%	100%	100%	Incomplete
4 Cyber Security Management	Intermediate	1 Cyber Security	Incomplete	1.1 Cyber Security	100%	100%	100%	100%	100%	Incomplete
		2 Cyber Security	Incomplete	2.1 Cyber Security	100%	100%	100%	100%	100%	Incomplete
5 Cyber Incident Management and Remediation	Intermediate	1 Cyber Incident Management	Incomplete	1.1 Cyber Incident Management	100%	100%	100%	100%	100%	Incomplete
		2 Remediation	Incomplete	2.1 Remediation	100%	100%	100%	100%	100%	Incomplete

Statement 23

CAT Declarative Statement

The institution has adopted the information security strategy to incorporate cybersecurity and risk management.

Guide

Compliance, as defined by the Federal Financial Institutions Examination Council (FFIEC) Cybersecurity Assessment, is the process of identifying computer and information systems that are critical to the institution's operations and are subject to information security risks. The institution has adopted the information security strategy to incorporate cybersecurity and risk management.

Assign Ownership

Domain	Component	Owner	Role	Status
Domain 1: Risk Management	1.1 Oversight	John Doe	Admin	Active
Domain 2: Threat Intelligence	2.1 Collaborative	Jane Smith	Admin	Active
Domain 3: Cyber Resilience & Incident Response	3.1 Cyber Resilience	Mike Johnson	Admin	Active
Domain 4: Cyber Security Management	4.1 Cyber Security	Sarah Lee	Admin	Active
Domain 5: Cyber Incident Management and Remediation	5.1 Cyber Incident Management	David Kim	Admin	Active

BENEFITS TO CREDIT UNIONS

- **Single Source of Truth** – everyone involved in preparation sees the same information and the same documents at the same time.
- **Shared Context** – Capture the rationale for decisions and remember them over time with the institutional memory built into the ACET Portal
- **Consistent Process** – Our portal ensures every Item goes through the same set of preparation and review steps to become ready for release to the NCUA
- **Realtime Status Visibility** – Through progress and rating dashboards, know where you stand every day

- **Make the Date** – Progress dashboards and “nudging” capabilities keep teams on track
- **Reduce Workload** – Shorten examinations and examiner time on-site through upfront preparation and the use of external collaboration capabilities
- **Increase Effectiveness** – Minimize surprises and reduce rework through thorough, documented, and structured preparation

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Redstone Consulting Group is a wholly owned but legally separate subsidiary of Redstone Federal Credit Union®. We serve the credit union community through technologically innovative solutions that enable our customers to serve their members better.



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